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# Interaction between Tunnel and Surface Foundation using PFC2D 

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#### Abstract

In this work, we investigate the interaction between tunnel and surface foundation in two dimensions by the particle flow code. At the first stage, the PFC calibration is conducted using the experimental test results rendered by a biaxial test. Then the simulation of a biaxial test is performed by confining a rectangular sample inside four walls. The walls are located at the top and bottom simulated loading plates and the adjacent walls are located at the left and right simulated sample side confinement. The velocities of the top and bottom walls are determined, and they are used for loading the sample in a strain-controlled mode. The respond of the material is evaluated by following the diverse stress and strain quantities. The axial deviatoric stress versus the axial strain for biaxial test on the bonded granular material is drawn, and then the Mohr's circle is drawn in order to reach the failure envelope of laboratory. Secondly, a rectangular model with dimensions of $10 \mathrm{~m} \times 10 \mathrm{~m}$ containing a central tunnel and a surface foundation is built. The tunnel is situated in sixteen different positions below the foundation. The foundation moves downward with a velocity of $0.016 \mathrm{~mm} / \mathrm{s}$. The results obtained show the position of the tunnel controlling the failure volume. Also the vertical displacement at the roof of the tunnel decreases by increasing the vertical spacing between tunnel and foundation. The settlement beneath the foundation increases by reducing the vertical spacing between the tunnel and the foundation. The settlement beneath the foundation decreases by augmenting the horizontal spacing between the tunnel and the foundation.


## 1. Introduction

Due to the increasing population in the urban areas, there is an essential requirement for the development of infrastructures, and this demand has also led to extending construction of a new metro system and tunnels in the cities of Iran. Through tunneling in urban areas, usually a tunnel should build a pile-supported building or bridge. In these kind of circumstances, the foundations of the adjacent pile bridges or buildings because of movements of ground due to the tunneling may tolerate negative effects and result in some foundational systems experincing more displacement. Furthermore, it leads to an increase in the stresses to the others (Lee [1]). General processes of tunneling in the urban areas usually occur in unappropriate ground circumstances, so in the condition of associating the existing surface
and sub-surface structures with the tunneling process, the impact of the process on the existing structures shoud be evaluated during the design and construction levels. Movement of ground caused by single tunneling has been widely studied by several ways such as field observation (e.g. [2-4]), analytical method (e.g. [5, 6]), numerical modelling (e.g. [7-20]), and physical modelling (e.g. [21, 22] Mair, 1979; Taylor, 1984). Furthermore, investigation of the ground movements caused by multiple tunneling has been carried out. Some researchers have shown that the surface settlement troughs due to twin tunnels have different shapes (e.g. [23-24]). In the case of studying the movement associated with multiple tunneling, due to the lack of field data, the investigators have generally used numerical
modelling (e.g. [25-36]) and physical modelling (e.g. [37-39]). It is clear that both the numerical and physical modellings give a valuable insight, although due to the inherent uncertainties in tunnel engineering, the settlement quantities determined by these kinds of investigations can rarely represent the actual quantities found in a real condition. Yoo [40] has announced that the recent application of the New Australian Tunneling Method (NATM) within the urban areas due to augmenting these kinds of complicated ground circumstances has increased, while the principles of NATM, created by Rabcewicz [41] and Muller [42], were extended for tunneling under competent ground situations. During the application of NATM below an existing structure or bridge, determining the results and the impacts on the existing structures are essential. There are several investigations in the field of tunneling below the existing pile (e.g. Vermeer [43]; Chen [44]; Mrouhe [45]; Lee [46]; Cheng [47]; Yoo [48]; Lin [49]; Lin [50]). These research works have generally indicated the vital governing mechanism of the impact of tunneling on the area of the existing pile, except an investigation by on Yoo [48], most of them have concentrated on the idealized tunneling conditions or simplified plane strain assessments. These investigations indicate the results of a 2D Particle Flow Code (PFC) numerical examination in conventional tunneling below an existing surface foundation. In fact, the effect of the distance between tunnel and foundation on the failure zone have been investgated.

## 2. Particle Flow Code (PFC)

One of the models that can be used in order to assess the model particles cyclically is that the PFC2D model could be a set of separate circular particles, and uses the express time-step circulation rule (Itasca [51]; Potyondy and Cundall [52]; Designer [53-54]). The contact force between the particles is predicated on the law of forcedisplacement, and therefore, the particle motions are in step with the Newton's second law. As a discrete element model (DEM), the ' contact bond model"' and 'parallel bond model'" are two main forms of the bond particle model. In the first model, the particles are attached together with an extent of glue, and torque cannot be transferred by contacts, whereas in the latter model, the particles are attached by a surface layer of glue. Besides, the rotation of particles induces a torque that can be tolerated by contacts. Therefore, the parallel bond
model can introduce a cement-like material like rock. We used the model of linear contact in this investigation that established an elastic relationship between the relative displacements and the contact forces of particles. In the case of using the routines provided (Itasca [49]), in order to produce a parallel-bonded particle model for PFC2D, some properties must be introduced: stiffness ratio kn over ks, modulus of ball-to-ball contact, coefficient of ball friction, standard deviation ratio to bond strength mean both in the shear and normal directions, parallel normal bond strength, parallelbond radius multiplier, minimum ball radius, parallel-bond modulus, parallel shear bond strength, and parallel-bond stiffness ratio, ratio of the standard deviation to the mean of bond strength both in the normal and shear directions. For establishment of the micro-properties used for assembly of the particles, it is essential to determine a calibration approach. For a direct representation of the properties of the particle contact and bonding, the laboratory model samples are not suitable. In the nature, the characteristics of the materials specified by the laboratory experiments are macro-mechanical, and this is due to the continuum behavior. Due to the aforementioned contents, an inverse modelling approach was applied in order to specify the suitable micro-mechanical characteristics of the numerical models from the macro-mechanical characteristics specified in the laboratory experiments. One of the procedures that is being applied to correlate these two sets of substance characteristics is the trial-and-error approach (Itasca [49]). This method assumes the micromechanical characteristic values, and provides a comparison between the strength and deformation properties of the numerical models and laboratory experiments. Finally, the simulation value of the micro-mechanical of the macroscopic response having the closest value to the laboratory tests were chosen for evaluating the ground settlement.

### 2.1. Numerical model preparation and calibration

In order to calibrate the compressive strength and young modulus of the sample in the PFC2D model, the uniaxial compression test was used. The standard procedure of production of a PFC2D assembly to determine a test model includes 4 steps: (a) generating and compression of particles, (b) installation of isotropic stress, (c) buoyant particle deletion, and (d) installation of bond. In PFC2D, a biaxial test is simulated by confining a
rectangular specimen (including a packed particle assembly) inside four walls (Figure 1). The specimen was made of 12,615 particles. The top and bottom walls and the left and right walls simulate the loading plates and the confinement experienced by the specimen sides, respectively. The specimen is loaded in a strain-controlled mode by determining the velocities of the upper and lower walls $(0.016 \mathrm{~m} / \mathrm{s})$. The stresses and strains tolerated by the specimen are specified in a macromode by summing the forces acting upon and the relative spacing between the appropriate walls. The
substance response is evaluated by following the diverse stress and strain quantities. The axial deviatoric stress versus the axial strain for the biaxial test on the bonded granular material was drawn, and then the Mohr's circle was drawn to reach the failure envelope of laboratory. Table 1 indicates the characteristics of the alluvial soil of the city of Tehran used in this investigation [17]. The determined micro-parameters (getting from calibration) are listed in Table 2 based on the strength and stiffness criteria.

Table 1. Characteristics of the alluvial soil of the city of Tehran.

| $\gamma_{\text {dry }}\left(\mathrm{KN} / \mathrm{m}^{3}\right)$ | $\mathrm{E}\left(\mathrm{Kg} / \mathrm{cm}^{2}\right)$ | $v$ | $\mathrm{C}\left(\mathrm{Kg} / \mathrm{cm}^{2}\right)$ | $\varphi\left({ }^{\circ}\right)$ |
| :---: | :---: | :---: | :---: | :---: |
| 19 | 750 | 0.35 | 0.3 | 34 |

Table 2. Determined micro-parameters in PFC2D (getting from calibration).

| Table 2. Determined micro-parameters in PFC2D (getting from calibration). |  |  |  |
| :---: | :---: | :---: | :---: |
| Factor | Value | Factor | Value |
| Type of particle | Disc | Parallel-bond radius multiplier <br> Young's modulus of parallel <br> bond (GPa) | 1 |
| Density $\left(\mathbf{k g} / \mathbf{m}^{3}\right)$ | 3000 | 0.27 | Parallel bond stiffness ratio <br> Minimum radius (mm) <br> Size ratio |
| Porosity ratio | 0.08 | Particle friction coefficient <br> Contact bond normal strength, <br> mean (MPa) | 0.0 .7 |
| Friction coefficient | 0.5 | Contact bond normal strength, <br> SD (MPa) | 0.0004 |
| Contact Young's modulus | 4 | Contact bond shear strength, <br> mean (MPa) | 0.002 |
| Stiffness ratio | 2 | Contact bond shear strength, <br> SD (MPa) | 0.0004 |



Figure 1. Contact force in the numerical model.

### 2.2 Preparation of model using PFC

After calibrating PFC2D, a rectangular model including a surface foundation and a tunnel was built. The dimensions of the rectangular model were $100 \mathrm{~m} \times 100 \mathrm{~m}$. The tunnel diameter was 10 m . The center of the tunnel was situated in 20 different positions below the surface foundation (Figures 2-5). "b" in Figure 2a changes from 15 m to 55 m with increasing 10 m , while "a" in Figure 3a changes from 0 to 30 m with increasing 10 m . For building up the rectangular sample, 18,179 disks with a minimum radius of 0.27 cm were provided. The confining pressures on the rectangular models are 0.01 MPa . The foundation moves downward with a velocity of $0.016 \mathrm{~mm} / \mathrm{s}$. For measurement of the vertical displacement, two measuring circles with a diameter of 2 m were chosen beneath the foundation and at the tunnel roof. The average vertical displacement of the discs happening in these circles was determined as a ground settlement (Figure 2a).


Figure 2. Tunnel was situated below the surface foundation at a vertical distance of a) $\mathbf{1 5} \mathbf{m}$, b) $\mathbf{2 5} \mathbf{m}, \mathbf{c}$ ) $\mathbf{3 5} \mathbf{m}, \mathbf{d}$ ) $\mathbf{4 5} \mathrm{m}$, and d) 55 m ; the horizontal distance between the tunnel center and the foundation was $\mathbf{0} \mathbf{~ m}$.


Figure 3. Tunnel was situated below the surface foundation at a vertical distance of a) $\mathbf{1 5} \mathbf{m}$, b) $\mathbf{2 5} \mathbf{~ m}, \mathbf{c} \mathbf{3 5} \mathbf{~ m}, \mathrm{d}$ ) 45 m , and d) 55 m ; the horizontal distance between the tunnel center and the foundation was $\mathbf{1 0} \mathbf{~ m}$.


Figure 4. Tunnel was situated below the surface foundation at a vertical distance of a) $\mathbf{1 5} \mathbf{m}$, b) $\mathbf{2 5} \mathbf{~ m}, \mathbf{c} \mathbf{3 5} \mathbf{~ m}, \mathbf{d}$ ) 45 m , and d) 55 m ; the horizontal distance between the tunnel center and the foundation was 20 m .


Figure 5. Tunnel was situated below the surface foundation at a vertical distance of a) $\mathbf{1 5} \mathbf{~ m}$, b) $\mathbf{2 5} \mathbf{~ m}, \mathbf{c )} \mathbf{3 5} \mathbf{~ m}, \mathbf{d}$ ) 45 m , and d) 55 m ; the horizontal distance between the tunnel center and the foundation was 30 m .

## 3. Numerical outputs

### 3.1. Failure behavior of numerical models

a) When horizontal distance between tunnel and foundation is equal to 0 m :

When the vertical spacing between the tunnel center and the foundation is equal to 15 m (Figure 6), a wedge of particle colapcse occurs inside the tunnel. By augmenting the distance between the tunnel center and the foundation, the size of these wedges was decreased. It is to be noted that the particles of the side-wall of the tunnel move inside the tunnel.
b) When horizontal distance between tunnel and foundation is equal to 10 m :

When the vertical spacing between the tunnel center and the foundation is equal to 15 m (Figure 7), a wedge of particle colapcse inside the tunnel occurs. By augmenting the distance between the tunnel center and the foundation, the size of these wedges was decreased. It is to be noted that the particles of the left wall of the tunnel move inside the tunnel.
c) When horizontal distance between tunnel and foundation is equal to 20 m :

When the vertical spacing between the tunnel center and the foundation is equal to 15 m (Figure 8), a wedge of particles colapcse inside the tunnel. By augmenting the distance between the tunnel center and the foundation, the size of these wedges was decreased. It is to be noted that the particles of the left wall of the tunnel move inside the tunnel.
d) When horizontal distance between tunnel and foundation is equal to 30 m :

When the vertical spacing between the tunnel center and the foundation is equal to 15 m (Figure 9), a wedge of particles colapcse inside the tunnel. By augmenting the distance between the tunnel center and the foundation, the size of these wedges was decreased. It is to be noted that the particles of left wall of the tunnel move inside the tunnel.
From the above findings, it can be concluded that by augmenting the distance between the tunnel center and the foundation, the volume of the collapsed wedge decreases. Also the volume of the collapsed zone from the left side of the tunnel decreases by increasing the horizontal spacing between the tunnel and the foundation.


Figure 6. Failure pattern. Tunnel was situated below the surface foundation at a vertical distance of a) $\mathbf{1 5} \mathbf{~ m}$, b) $\mathbf{2 5} \mathbf{~ m}$, c) $\mathbf{3 5} \mathbf{~ m}$, d) $\mathbf{4 5} \mathbf{~ m}$, and d) $\mathbf{5 5} \mathbf{~ m}$; the horizontal distance between the tunnel center and the foundation was $\mathbf{0}$ m.


Figure 7. Failure pattern. Tunnel was situated below the surface foundation at a vertical distance of a) $15 \mathbf{m}$, b) $\mathbf{2 5 m}$, c) $\mathbf{3 5} \mathrm{m}$, d) $\mathbf{4 5} \mathrm{m}$, and d) 55 m ; the horizontal distance between the tunnel center and the foundation was $\mathbf{1 0}$ m.


Figure 8. Failure pattern. Tunnel was situated below the surface foundation at a vertical distance of a) $\mathbf{1 5} \mathbf{m}, \mathbf{b}$ ) $\mathbf{2 5 ~ m}$, c) $\mathbf{3 5 ~ m}$, d) $\mathbf{4 5} \mathbf{~ m}$, and d) $\mathbf{5 5 ~ m}$; the horizontal distance between the tunnel center and the foundation was 20 m.


Figure 9. Failure pattern. Tunnel was situated below the surface foundation at a vertical distance of a) $\mathbf{1 5} \mathbf{~ m}, \mathrm{b}$ ) $\mathbf{2 5 ~ m}$, c) $\mathbf{3 5} \mathbf{~ m}$, d) $\mathbf{4 5} \mathbf{~ m}$, and d) $\mathbf{5 5 ~ m}$; the horizontal distance between the tunnel center and the foundation was $\mathbf{3 0}$ m.

### 3.2. Tunnel position impact on ground settlement beneath foundation

Fig 10 indicates the influence of the tunnel position on the ground settlement beneath the foundation. For each configuration of the horizntal destance between the tunnel and the foundation, the ground settlement was reduced by increasing the vertical spacing between the tunnel center and the foundation. It is to be noted that the ground settlement is decresed by increasing the horizontal destance between the tunnel and the foundation.

### 3.3. Effect of tunnel position on vertical displacement of tunnel roof

Figure 11 indicates the impact of the tunnel position on the vertical displacement of the tunnel roof. For each configuration of the horizntal destance between the tunnel and the foundation, the vertical displacement of the tunnel roof was decreased by augmenting the vertical spacing between the tunnel center and the foundation. It is to be noted that the vertical displacement of the tunnel roof iss decreased by increasing the horizontal destance between the tunnel and the foundation.


Figure 10. Tunnel position impact on ground settlement beneath foundation.
3.4. Sensitivity Analysis of collapse scope to explore effect of different micro-parameters such as contact bond normal strength, shear bond normal strength, particle friction coefficient, and stiffness ratio ( $\mathrm{kn} / \mathrm{ks}$ ) on shape of single tunnel roof collapse
Figure 12 shows the failure mechanism of the tunnel roof corresponding to density $=2600 \mathrm{~kg} / \mathrm{m}^{3}$, porosity $=0.08$, contact young modulus $=1 \mathrm{GPa}$, vertical distance between tunnel center and footing


vertical distace between tunnel center and foundation (m)
Figure 11. Tunnel position impact on vertical displacement of tunnel roof.
$=30 \mathrm{~m}$, and confining pressure $=0.01 \mathrm{MPa}$. According to Figure 12a, it can be concluded that the widths of the collapse zone tend to decrease as the parameter contact bond normal strength increases. Figure 12b shows that the widths of the collapse zone tend to decrease as the parameter contact bond shear strength increases. From Figures 12 c and 12 d , it is clear that the failure zone is constant by increasing both $f$ the particle friction coefficient and stiffness ratio.

(d) normal strength on failure mechanisms, (b) Effects of shear bond normal strength on failure mechanisms, (c) Effects of particle friction coefficient on failure mechanisms, (d) Effects of stiffness ratio (kn/ks) on failure mechanisms.

## 4. Conclusions

- The volume of the collapsed wedge reduces by augmenting the vertical and horizontal spacing between the tunnel and the foundation.
- The volume of the collapsed zone from the left side of the tunnel decreases by increasing the horizontal spacing between the tunnel and the foundation.
- For each configuration of the horizntal destance between the tunnel and the foundation, the ground settlement was reduced by increasing the vertical spacing between the tunnel center and the foundation. It is to be noted that the ground settlement is decreased by increasing the horizontal destance between the tunnel and the foundation.
- For each configuration of the horizntal destance between the tunnel and the foundation, the vertical displacement of the tunnel roof was decreased by augmenting the vertical spacing between the tunnel center and the foundation. It is to be noted that the vertical displacement of the tunnel roof is decreased by increasing the horizontal distance between the tunnel and the foundation.
- The widths of the collapse zone tend to decrease as the parameter contact bond normal strength increases.
- The widths of the collapse zone tend to decrease as the parameter contact bond shear strength increases.
- The failure zone was constant by increasing both the particle friction coefficient and stiffness ratio.


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# PFC2D اندر كنش بين تونل و پی سطحى با استفاده از نرم افزار 

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وهاب سرفرازى '"،كاوه عسگَرى 「و شادمان محمدى بلبان آباد'
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در اين تحقيق، اندر كنش بين تونل و پی سطحى با استفاده از نرم افزار PFC2D مطالعه شده است. در مرحله اول، نرم افزار PFC با استفاده از دادر دادهاى آزمايش


 18 mm/s 1 / /انجام شد. نتايج نشان دادند كه موقعيت تونل حجم آسيب را كنترل مى كند. جابجايى قائم سقف تونل با افزايش فاصله قائم بين تونل و پیى كاهش مى يابد. همحنين نشست زير پی با كاهش فاصله بين تونل و پی افزايش مى يابد.

